First wall heat load control, ELM and divertor, detachment control

Problems

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with some figures borrowed from the literature



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ITER requires dissipation of heat exhaust to avoid exceeding material limit

- ITER's divertor tolerates steady heat flux ≤ 15 MW m⁻²
- H-mode requires ≈150 MW across LCFS •
 - $\sim \approx \frac{1}{2}$ to outer divertor $\rightarrow 75$ MW
- Footprint area = $2\pi R_{div} \lambda_{int} f_x f_{tw} = 0.9 2 \text{ m}^2$
 - $-R_{div} = 5.6 \text{ m}$ $-\lambda_{int} \approx \lambda_{q} + 1.64 \text{ S} = 3.5 - 8.5 \text{ mm}$ Based on $\lambda_{q} = 1-6 \text{ mm}$, S = 1.5 mm

 - $f_x = 9$ $f_{tw} \approx 0.8$
- $q_{div} = 70-170 \text{ MW m}^{-2}$
 - roughly q_{div} /tolerance = 4.7–11 \rightarrow need 79% – 91% dissipation
- Literature estimates: 60-80% radiated, 70% radiated

load tolerance:R. Pitts, et al., Nucl. Mater. Energy 20, 100696 (2019) http://dx.doi.org/10.1016/j.nme.2019.100696 H-mode access: F. Ryter, et al., Nucl. Fusion 36, 1217 (1996) https://doi.org/10.1088/0029-5515/36/9/11 Footprint stuff: J. Horacek, et al., Nucl. Fusion 60, 066016 (2020) https://doi.org/10.1088/1741-4326/ab7e47 Radiation requirements: R. A. Pitts, et al., Phys. Scr. T138, 014001 (2009) http://dx.doi.org/10.1088/0031-8949/2009/T138/014001 A. S. Kukushkin, et al., Nucl. Fusion 49, 075008 (2009) http://dx.doi.org/10.1

ELMs transiently increase heat flux & must be addressed

- Driven by peeling-ballooning instability
- Briefly (~1 ms) increase heat load
- ELM suppression techniques available, but must work with dissipation method





Impurities + high density \rightarrow heat dissipation \rightarrow divertor cold enough for neutrals \rightarrow plasma-neutral interaction detaches from target plate



- Extrinsic low-Z impurity seeding
- High density





The two-point model can help us understand dissipation terms

- Two-point model or 2PM relates "upstream" and "target" conditions
- Considers plasma connected along a flux tube

Relatively simple



The two-point model can help us understand dissipation terms

$$T_{t} = \frac{q_{\parallel}^{2}}{n_{sep}^{2}} \left(\frac{2\kappa_{e}}{7q_{\parallel}L_{\parallel}}\right)^{4/7} \frac{2m_{i}}{\gamma^{2}e^{2}} \xrightarrow{(1 - f_{pow})^{2}}{p_{e}^{2}(1 - f_{conv})^{4/7}} \xrightarrow{Power}_{loss}$$

$$T_{t} = \frac{n_{sep}^{2}}{q_{\parallel}} \left(\frac{7q_{\parallel}L_{\parallel}}{2\kappa_{e}}\right)^{4/7} \frac{\gamma e^{2}}{2m_{i}} \xrightarrow{(1 - f_{mom})^{2}(1 - f_{conv})^{4/7}}{(1 - f_{pow})^{2}(1 - f_{conv})^{4/7}} \xrightarrow{Pressure / momentum}_{loss}$$

$$q_{\parallel,t} = \gamma\Gamma_{t}T_{e,t} = q_{\parallel}(1 - f_{pow}) \xrightarrow{Parallel}_{convection}$$

$$q_{\perp,t} = \sin \alpha \left(\gamma T_{e,t} + E_{i}\right)\Gamma_{t}$$

$$q_{\perp,t} = \sin \alpha \left(q_{\parallel}(1 - f_{pow}) + E_{i}\Gamma_{t}\right)$$

$$Determent where the transmuter transmut$$

Key definitions

- J_{sat} = ion saturation current density = e $Z_{i} \Gamma_{t}$
- Measured by Langmuir probes
- Rollover: J_{sat} first increases with increasing density, then "rolls over" & decreases with increasing density

Degree Of Detachment = DOD \equiv

- Easy, readily available diagnostics: average density + Langmuir probes
- Quantifies divertor dissipation processes



DOD: A. Loarte, et al., Nucl. Fusion 38, 331 (1998) https://doi.org/10.1088/0029-5515/38/3/303

Impurity seeding can harm core plasma \rightarrow controller must manage flow rate



Example of reduced performance in detachment



Confinement quality vs DoD relationship can be changed

- Scenario development allows one to change these curves
 - (H98 is not the only parameter)
- We'll talk about developing controllers for moving along these curves



FIGURE: H.Q. Wang, et al., Phys. Plasmas 28, 052507 (2021) https://doi.org/10.1063/5.0048428

Many single-input, single-output controllers have been tested

- Obtain a control variable in real-time by processing sensor signals
- 2. Use a control policy to transform measurement and target into command
- 3. Command sent to actuator LOG
- 4. The plasma responds



U10

GASC

L03

GASB

Sensors

Command for

gas injection

system

Sensors

Plasma

response

Prad.tar

Contro policy

 V_{com}

 $P_{rad,div,L}$

into

Processing

ntrol

Choosing control variables and actuators

- The control variable has to change when the actuator is used
- The actuators are methods of putting different elements into the plasma
 - Gas puff (fuel or impurity)
 - Pellet launcher
 - Powder dropper

Types of control variables:

- Direct protection: heat flux (melting) or T_e (sputtering)
- Control dissipation process: radiated power, T_e
- Control the detachment state: A_{frac}, radiator position
- All: more impurities/density \rightarrow less divertor head load
- Pick one that can be measured reliably and has a manageable response to available actuators

Pick a manageable response: depending on plasma scenario, surface measurements might not provide early warning



Dissipation strength

Actuator responses are not the same across devices

- Different T_ ranges
- Different SOL opacity and compression into divertor
- Common thinking: neon in ITER will behave the way nitrogen behaves in DIII-D
 - (except for sticking to walls, which nitrogen does)

Considerations for controlling heat flux to the divertor

- Directly address hardware limits
- Measure with infrared thermography, surface thermocouple, LPs, or calculate with model

Cameras for IR thermography may have difficulty seeing key surfaces in closed divertor



- Open divertor: easy to
 see
- To see closed divertor:
 - Optics embedded in divertor cassette (which gets replaced periodically in DEMO plans): too hard to maintain?
- Mirrors close to the action: alignment issues during thermal cycles? Coatings?
- Fibers close to neutron source: darkening?



Figure: D. N. Hill, et al., Rev. Sci. Instrum. 59, 1878 (1988) http://dx.doi.org/10.1063/1.1140040

Surface Eroding ThermoCouples measure heat flux and could tolerate ITER-relevant heat flux



Figure: J. Ren, et al., Review of Scientific Instruments 89, 10J122 (2018); https://doi.org/10.1063/1 SETC heat tolerance: M. D. Palma, and M. Spolaore, IEEE sensors journal 21, 17898 (2021); https://doi.org/10.1109/JSEN.2021.3085478

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Expected to

10–20 MW m⁻²

Relevant to first

wall & divertor

in hard to image

control sensitivity

in detachment

withstand

places

Might lose

Models for attached heat flux exist, but accurately modeling dissipation terms in detachment is challenging

 Accurate $B_{\phi,\text{OMP}}P_{\text{SOL}}$ $q_{\parallel 0, \text{main}}$ real-time model $\frac{1}{4\pi R_{\rm OMP}(\lambda_{q,\rm main} + R_q \lambda_{q,\rm near})B_{\theta,\rm OMP}}$ for first wall $q_{\parallel 0, \text{near}}$ $R_a \equiv$ peak heat flux $q_{\parallel 0, \text{main}}$ in attached , PFo[MW/m²] plasma 0.8 First wall (of 0.6 main chamber) Infra-red (measurement) 0.4 Model-based (real-time) or divertor heat σ SMITER (Offline, high quality) 0.2 flux 0 2.8 3.4 4.2 3 3.2 3.6 3.8 Figure and equation: H. Anand, et al., Nucl. Fusion 61, 036012 lls https://doi.org/10.1088/1741-4326/abd21c

Controlling T_e addresses ≤8 eV sputtering limit & can leverage sensitivity of dissipation processes to T_e

- Divertor T_e from Thomson scattering, LPs (esp. 3-tip LPs)
- EAST 3LP test had trouble reaching <5 eV targets



Once detached, T_e (from LPs) is relatively insensitive to increasing DOD: not easy to control

- Gain used to access detach with T_e will be too small to control deepening detach
- Real variation in T_e becomes harder to distinguish from noise
- Meanwhile, J_{sat}/J_{roll} works smoothly



Figure: D. Eldon, et al., Nucl. Mater. Energy 27, 100963 (2021) https://doi.org/10.1016/i.nme.2021.100963 Eldon / First wall heat load control, ELM and divertor, detachment control / 2022-07-26

The "T_e cliff" is the ultimate expression of the dramatic change in sensitivity of T_e to gas puff

- Sometimes happens with $B \times \nabla B$ drift into divertor
- A sudden jump between ~1 eV and ~10 eV (endpoints vary) resulting from small changes in controllable parameters (gas flow, density, ...)



P_{rad} is closely linked to f_{pow} dissipation term and measured by ubiquitous bolometers

$$q_{\perp,t} = \sin a \left(q_{\parallel} (1 - \frac{f_{pow}}{f_{pow}}) + E_i \Gamma_t \right)$$
$$P_{rad} = n_e n_z L_z (T_e)$$

- Relatively simple relationship with actuator
- Most widely implemented dissipation control system
 - AUG
 - Alcator C-Mod
 - DIII-D
 - EAST
 - JET





Watch out for radiation condensation



- $P_{rad} = n_e n_z L_z(T_e)$
- P_{rad} tends to reduce T_{e}
- if d(L_z)/d(T_e) < 0, reducing T_e increases P_{rad}
- Rad. condensation does not automatically ruin everything always: large volume of plasma with range of T_e

The position of a radiation source near the X-point can be controlled

Set value

Actual value

10

8

[cm]

 Non-ELMing regime accessed when radiator 5-7 cm above X-point



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Adaptation for

parameter

control access a good metric for detachment level

Typical

Typical I_{sat attached} scaling: A. Loarte, et al, Nucl. Fusion 38, 331 (1998) <u>https://doi.org/10.1088/0029-5515/38/3/30</u> First implementation of Afrac control: C. Guillemaut, et al, PPCF 59, 045001 (2017) https://doi.org/10.1088/ Control using this Afrac definition: D. Eldon, et al., Plasma Phys. Control. Fusion 64, 075002 (2022) https://doi.org/10.

- A_{frac} instead of DOD to avoid noisy denominator
- KSTAR A_{frac} control builds on lessons learned from the JET A_{frac} control design
 - Normalize by modelled attached ${\rm I}_{_{\rm sat}}$ instead of rollover I_{sat}

scaling used changes, in DOD especially power $I_{sat, attached} =$ Includes fudge factor as well as real constants $I_{sat,measured}$

frac control access a good metric for detachment level

- Effective control
- A particular A_{frac} value doesn't guarantee that divertor won't melt
- ITER Langmuir probe • survivability uncertain



Figure: D. Eldon, et al., Plasma Phys. Control. Fusion 64, 075002 (2022)

The device and core scenario impose some constraints on divertor/SOL dissipation control

- H-mode access requirements define minimum P_{SOL}
- Pedestal requirements may constrain upstream density
- Device geometry & coils define flux expansion, divertor leg angle, and closure
- $B \times \nabla B$ drift probably into divertor for H-mode access
- Excess P_{rad} will destroy the pedestal / radiative collapse / disruption. What is excess? Depends on how core plasma responds.
- Minimum core fuel purity for fusion power
- Must be compatible with ELM removal

Avoid minimum P_{SOL} for H-mode access by ditching H-mode

- Negative triangularity can reach high power and performance in L-mode
- No H-mode \rightarrow no P_{SOL} requirement
- Strike pt @ large R
- Also no pedestal & no ELMs

Standard positive triangularity (accesses H-mode) Negative triangularity (supports high performance L-mode)



Figure and background: M. Kikuchi, et al., Nucl. Fusion 59, 056017 (2019) https://doi.org/10.1088/1741-4326/ab076d

Avoid sensitive pedestal requirements by supplementing with internal transport barrier (ITB)

- Internal Transport Barrier (ITB) leads to steep gradient in core
- Impurity seeding \rightarrow reduced pedestal height \rightarrow reduced confinement

in most scenarios



100963 (2021) https://doi.org/10.



FIGURE: H.Q. Wana, et al., Phys. Plasmas 28, 052507 (2021) https://doi.or



Wang, et al., Nature Comm. 12, 1365 (2021) loi ora/10 1038/s41467-021-21645-v



Exotic divertor configurations can make detachment easier

- MAST-U takes this furthest with super-X chamber
 - TCV also tries exciting things
- Super-X box:
 - High flux expansion
 - Long leg
 - Strike pt @ large R
 - Tight closure



Figure: G. Fishpool, et al., J. Nucl. Mater. 438, \$356 (2013) http://dx.doi.org/10.1016/j.jnucmat.2013.01.067

Periodic pedestal collapses can happen at high radiated power fraction, with or w/o feedback control

Looks like bad control causes oscillation

2.5 2.25 2.0 RT Prad (MW) P_{rad} (MW) 2.00 .75 0.5 RT est. for prad divl (#173236) 173236 target 1.50 173237 Baseline (#173224) 0.0 $\begin{array}{c} 3.0 \\ 8.1 \\ 1.8 \\ 1.2 \\ 0.0 \\ 0.0 \end{array}$ 3.0 (c) Smoothed FE Command (V) moothed 2000 2400 2800 3200 3600 4000 1500 3000 4500 Time (ms) Time (ms) DIII-D #173236

Average the feedback command and apply as constant feedforward command: still oscillates \rightarrow this scenario just does this @ \approx 80% frad

Figures: D. Eldon, et al., Nucl. Mater. Energy 18, 285 (2019) https://doi.org/10.1016/i.nme.2019.01.010

Nitrogen / neon plasmas don't fuse so well: must maintain adequate fuel purity

- A_{frac} control (J_{sat}/J_{roll} definition) worked well
- Neutron rate dropped substantially during seeding
 - Normalize measurement by 0D model for neutron rate to isolate dilution
- Core P_{rad} was not stationary:
 A_{frac}—neon loop is not good





Detachment control must be compatible with ELM removal

ELM removal/suppression/avoidance options:

- Resonant Magnetic Perturbations (RMPs)
- QH mode
- Impurity-driven ELM suppression
- L-mode (such as negative triangularity)

RMPs prevent pedestal from growing to P-B unstable level, but have collisionality / density limitations

Special coils apply a toroidally-varying magnetic field perturbation



Figure: J. D. King, et al., Phys. Plasmas 22, 112502 (2015) http://dx.doi.org/10.1063/1.4935486

- RMPs don't work at high density in present devices: probably collisionality limit that won't apply to ITER
- But can't study RMP + detachment yet



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http://dx.doi.org/10.1103/PhysRevLett.114.105002

ELM suppression has been achieved with impurity seeding: AUG gas puff



ELM suppression has been achieved with impurity seeding: KSTAR impurity powder dropper (BN)

- Boron is good for wall conditions
- Can be dropped as powder
- Also removes ELMs



Figures: E. P. Gilson, et al., Nucl. Mater. Energy 28, 101043 (2021) https://doi.org/10.1016/j.nme.2021.101043

Many control policies are possible

- Can be simple and rely on empirical system identification
- Can leverage complicated models
- Let's cover two examples

Proportional-Integral-Derivative (PID) control is simple & can be applied to a black box after limited system identification

 Command is proportional to control error + integral to correct for persistent error + derivative to be proactive
 E: control error



- Good for simple, low-noise systems
- Doesn't even require electronics (can be implemented with hydraulics or pneumatics – 100 years old)
- Doesn't require a high fidelity model of the system
- Tuned for a potentially narrow range around a single operating point
- Could be used to trim the output of a more sophisticated controller

There exist heuristics for translating system dynamics into PID gains

- 1. Apply actuator and observe response
- 2. Fit with First Order Plus Dead Time (FOPDT) model
- 3. Plug the FOPDT coefficients into a formula to get gains
- 4. Run the system with the gains
- 5. Make minor adjustments as needed

There exist heuristics for translating system dynamics into PID gains

FOPDT fit gives 0.12 Measured 0.10 K = system gain Fit **√** τ = timescale 0.06 - 0.04 L = dead time or lag 0.02 $\Delta y(t) = K \left(1 - e^{-(t-L)/\tau} \right)$ = 0; t > L0.00 $t \leq L$ 1.6 $G_p = C_p \frac{1}{K} \frac{\tau}{L}$ $\mathcal{T}_i = C_i L$ $\mathcal{T}_d = C_d L$ 1.2 1.2 8.0 ^{1,2} 8.0 ¹/₀ Ли 0.4 0.0 5 6 7 8 9 10 Time / s KSTAR #25081 Rule Useful for / tested in Performance (DIII-D) C_p C_i C_d Figure + use case with new constants: D. Eldon, et al., Plasma Phys. Control. Fusion 64, 075002 (2022) https://doi.org/10.1088/1361-6587/ac6ff9 Classic Z-N 2.000.50Low noise systems Bad 1.20FOPDT for tuning in tokamaks: E. Kolemen, et al., Nucl. Fusion 50, 105010 (2010) http://dx.doi.org/10.1088/0029-5515/50/10/105010 Modified Z-N 2.001.33Marginal-okay 0.60 \approx general Old tuning rule: J. G. Ziegler and N. B. Nichols, Transitions of the ASME, 64, 759 (1942) https://doi.org/10.1115/1.2899060 Good-excellent A_{frac} control 0.252.670.35High β_p , N₂ http://davidr.no/ijav3017/papers/7jealer_Nichols_%201942.pdf

FOPDT fitting does not require a simple step

$$\tau \, \frac{dy(t)}{dt} = K_s \left[u(t-L) - u(t_0) \right] - \left[y(t) - y(t_0) \right]$$

 Can predict response to arbitrary commands



Warning: this is an attempt to fit a complicated, nonlinear system with a first order model

- Example: different fit coefficients for two steps; no consistent fit to both steps
- K = -0.040, -0.077
- L = 104, 44 ms
- τ = 261, 90 ms



Open loop PID simulations can spot some blunders and help guide changes

- Open loop sim: control error won't change
- Shows P, I, D breakdown
 - Are those spikes coming from the D term?
 - Is the I term driving the oscillation?
 - Is the D term's phase lead cancelled by lowpass
 filter phase lag?



There are other PID tuning methods

- Purely manual: okay if system runs continuously + low penalty for failure
- Different heuristic formulae to use with step response or FOPDT fit
- Loop shaping

But no matter how it's tuned, PID's only look-ahead capability is the derivative term and it will get in trouble making large changes in nonlinear systems

Despite limitations, PID is still useful

- Avoid large changes in nonlinear systems \rightarrow works great
- Proof of concept of combinations of sensors and actuators — if PID can do it, MPC should do it even better
 - Some reasons for PID to fail would ruin other control policies, too (low sensitivity, S/N, etc.)
- Some failure modes can reveal new control physics challenges

If given the scenario, the actuator(s), and the sensor(s) and tasked with finding best possible controller, consider alternatives

If exploring how scenarios, sensors, and actuators interact with each other in order to advise which ones should be used later in a point design, suboptimal control policy is probably okay

Failed PID control helped explore the "T_ cliff"

Bad control



Because a drift system drains

Model Predictive Control (MPC) handles complicated systems, but requires a model

- Use model to predict responses to a set of command sequences
- Pick the command sequence that gives best predicted response
- Model should be fast and accurate



Path forward for a model suitable for real-time MPC

- 1. Demonstrate a model with accurate steady state and dynamic predictions
 - a. e.g. SOLPS-ITER seems pretty accurate in steady state
 - b. SOLPS-ITER has problems with accurate dynamic responses that are driven by attempts to speed execution
- 2. Reduce the model so it can execute in real-time but still provide essential outputs
 - a. Fit a database of code results with a neural net or other functions that can be evaluated quickly

Multiple impurity species and sensors may be used

 $/ 10^{21} el s^{-1}$

- N₂—P_{rad,div} Ar—P_{rad,core} on AUG
- N₂—J_{sat}/J_{roll} + Ne—P_{rad,core} on DIII-D
- Dual single-in, single-out loops with 0 cross terms instead of true multi-in, multi-out



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Summary of actuator / sensor pairing demonstrations

	gas puff	SMBI	pellets	powder dropper
DTS Te	DIII-D			
3LP Te	EAST	EAST		
LP + BPP heat flux	COMPASS			
LP Afrac	JET, EAST, DIII-D, KSTAR	EAST		
Foil bolometer, VUV, or XUV Prad	AUG, DIII-D, CMOD, JT-60U, JET	EAST		
Shunt R Pdiv	AUG			
STC Pdiv	CMOD			
X-point radiator Z	AUG			
MANTIS detachment front position	TCV	rtor detachment cont	rol / 2022-07-26	51

Thank you

Abstract

Control systems are implemented to mitigate intense heat flux expected in future fusion devices. Without intervention, heat and particle fluxes reaching divertor target plates tend to concentrate in narrow (~cm in R) regions and thus the peak heat load will likely be well above the material's tolerable limit. Adding extrinsic impurities to the plasma promotes line radiation and other dissipation processes that spread the plasma's heat exhaust across a greater wall area. With strong enough dissipation, the zone of primary interaction between the plasma and neutrals from the surface can detach from the divertor target plate, shielding the plate from most of the direct heat load from the plasma. In wall-limited plasmas, impurity line radiation is useful for spreading heat loads across wider areas. While this is an excellent way to protect the wall and divertor from melting or sputtering, the extrinsic impurities are also a potent means of reducing core plasma confinement quality, diluting fusion fuel, or even prompting a disruption. It is the job of the control system to moderate the flow of impurity gas to achieve divertor/wall protection without harmful excess. It is not auaranteed that every plasma scenario is compatible with both detachment and good core performance at the same time. The detachment control system and core scenario must also be compatible with an Edge Localized Mode (ELM) removal solution, since the intermittent heat flux from an ELM in a reactor would potentially exceed the material's tolerable limit. Further complicating the problem, ability to diagnose and affect plasma conditions in future devices will be limited as many popular diagnostics are unlikely to be feasible in a fusion power reactor, and key actuators will be subject to constraints as well, such as delays due to longer gas lines.

Control system design includes control policy/algorithm design, selection (in flexible devices like DIII-D) or at least awareness (in single-point designs) of the base scenario or operating point, selection of sensors and formulation of control parameters, and selection of actuators, in this case by choosing which gas species to inject into the plasma. Each of these facets will be reviewed, followed by a look at challenges and potential solutions for future devices compared to the current state of the art.